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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Prior Application: Serial No. 08/630,590  
Art Unit: 2734  
Examiner: A. Le

SIR: This is a request for filing a

☐ Continuation ☒ Divisional Application under 37 C.F.R. § 1.53(b) of pending prior application Serial No. 08/630,590 filed April 10, 1996 of Paul YURT et al. for AUDIO AND VIDEO TRANSMISSION AND RECEIVING SYSTEM

1. ■ Enclosed is a complete copy of the prior application including the oath or Declaration and drawings, if any, as originally filed. I hereby verify that the attached papers are a true copy of prior application Serial No. 08/630,590 as originally filed on April 10, 1996.
2. ☐ Enclosed is a substitute specification under 37 C.F.R. § 1.125.
3. ■ Cancel Claims 10-11 and 21-32.
4. ■ A Preliminary Amendment is enclosed.
5. ■ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 and 4 above.

For	:	Number Filed	:	Number Extra	:	Rate	:	Basic Fee	\$790.00
Total	:		:		:		:		
Claims	:	18-20= 0	:	0	:	x\$ 22.00=	:	\$	0.00
Independent	:		:		:		:		
Claims	:	2- 3= 0	:	0	:	x\$ 82.00=	:	\$	0.00
Multiple Dependent Claim(s) (if applicable)	:		:		:	+\$270.00=	:		
Total								=	\$790.00
Reduction by ½ for								:	
filing by small entity								:	\$ 0.00
TOTAL FILING FEE								=	\$790.00

6. ■ A check in the amount of \$790.00 to cover the filing fee is enclosed.

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7. ■ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
8. ■ Amend the specification by inserting before the first line, the sentence:  
  
--This is a division of application Serial No. 08/630,590, filed April 10, 1996, which is a continuation of application Serial No. 08/133,982, filed October 8, 1993, which issued as U.S. Patent No. 5,550,863, which is a continuation of application Serial No. 07/862,508, filed April 2, 1992, which issued as U.S. Patent No. 5,253,275, which is a continuation application of Serial No. 07/637,562, filed January 7, 1991, which issued as U.S. Patent No. 5,132,992, which applications are hereby incorporated herein by reference.--
9. □ New formal drawings are enclosed.
10. ■ The prior application is assigned of record to: BROWNE, H. Lee, D/B/A/ GREENWICH INFORMATION TECHNOLOGIES.
11. □ Priority of application no. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_ is claimed under 35 U.S.C. § 119. A certified copy  
  
□ is enclosed or      □ is on file in the prior application.
12. □ A verified statement claiming small entity status  
  
□ is enclosed or      □ is on file in the prior application.
13. ■ The power of attorney in the prior application is to at least one of the following: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings, IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilly, Reg.

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14. ■ The power appears in the original declaration of the prior application.
15. □ Since the power does not appear in the original declaration, a copy of the power in the prior application is enclosed.
16. ■ Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT and DUNNER, L.L.P., 1300 I Street, N.W., Washington, D.C. 20005-3315.
17. □ Recognize as associate attorney \_\_\_\_\_
18. ■ Also enclosed is an Information Disclosure Statement and Form PTO-1449; and a Preliminary Amendment.

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PETITION FOR EXTENSION. If any extension of time is necessary for the filing of this application, including any extension in the parent application, Serial No. 08/630,590, filed April 10, 1996, for the purpose of maintaining copendency between the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 06-0916. A duplicate copy of this paper is enclosed for use in charging the deposit account.

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By: 

Doris Johnson Hines  
Reg. No.: 34,629

Date: July 23, 1998

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UNITED STATES PATENT APPLICATION

OF

PAUL YURT

AND

H. LEE BROWNE

FOR

AUDIO AND VIDEO TRANSMISSION AND RECEIVING SYSTEM

1

## BACKGROUND OF THE INVENTION

The present invention relates generally to an audio and video transmission and receiving system, and more specifically to such a system in which the user controls the access and the playback operations of selected material.

At the present time, only a video cassette recorder (VCR) or a laser disk player (LDP) allow a viewer to enjoy control over selection of particular audio/video material. Using either a VCR or an LDP requires the viewer to obtain a video tape either by rental or by purchase. Remote accessing of the material has not yet been integrated into an efficient system.

Several designs have been developed which provide the viewer with more convenient means of accessing material. One such design is disclosed in U.S. Patent No. 4,506,387, issued to Walter. The Walter patent discloses a fully dedicated, multi-conductor, optical cable system that is wired to the viewer's premises. While the system affords the viewer some control over accessing the material, it requires that a location designated by the viewer be wired with a dedicated cable. The Walter system further requires the viewer be at that location for both ordering and viewing the audio/video material.

U.S. Patent No. 4,890,320, issued to Monslow, describes a system which broadcasts viewer selected material to a viewer at a prescribed time. This system is limited in that it requires multiple viewers in multiple locations to view the audio/video material at the time it is broadcast, rather than allowing each

1 viewer to choose his or her own viewing time. The system  
disclosed in Monslow also does not allow for the stop, pause, and  
multiple viewing functions of existing VCR technology.

5 U.S. Patent No. 4,590,516, issued to Abraham, discloses a  
system that uses a dedicated signal path, rather than multiple  
common carriers, to transmit audio/video programming. The  
receiver has no storage capability. The system provides for only  
display functions, which limits viewing to the time at which the  
material is ordered. Like Monslow, the Abraham system does not  
10 allow for the stop, pause, and multiple viewing functions of  
existing VCR technology.

15 U.S. Patent No. 4,963,995, issued to Lang, discloses an  
audio/video transceiver with the capability of editing and/or  
copying from one video tape to another using only a single tape  
deck. Lang does not disclose a system with one or more libraries  
wherein a plurality of system subscribers may access information  
stored in the film and tape library or libraries, and play back  
the selected information at a time and place selected by the  
subscriber.

20 It is therefore an object of the present invention to provide  
a user with the capability of accessing audio/video material by  
integrating both accessing and playback controls into a system  
that can use multiple existing communications channels.

It is a further object of the present invention to provide a  
picture and sound transmission system which allows the user to  
remotely select audio/video material from any location that has  
either telephone service or a computer.

1 A still further object of the present invention is to provide  
a picture and sound transmission system wherein the selected  
audio/video material is sent over any one of several existing  
communication channels in a fraction of real time to any location  
5 chosen by the user that has a specified receiver.

Another object of the present invention is to provide a  
picture and sound transmission system wherein the user may play  
back the selected audio/video material at any time selected by the  
user and retain a copy of the audio/video material for multiple  
10 playbacks in the future.

Another object of the present invention is to provide a  
picture and sound transmission system wherein the information  
requested by the user may be sent as only audio information, only  
video information, or as a combination of audio and video  
15 information.

Additional objects and advantages of the invention will be  
set forth in the description which follows, and in part will be  
obvious from the description, or may be learned by practice of the  
invention. The objects and advantages of the invention may be  
20 realized and obtained by means of the instrumentalities and  
combinations particularly pointed out in the appended claims.

#### SUMMARY OF THE INVENTION

To achieve the objects in accordance with the purposes of the  
present invention, as embodied and described herein, the  
transmission and receiving system for providing information to  
remote locations comprises source material library means prior to  
identification and compression; identification encoding means for  
25



1 retrieving the information for the items from the source material  
library means and for assigning a unique identification code to  
the retrieved information; conversion means, coupled to  
5 identification encoding means, for placing the retrieved  
information into a predetermined format as formatted data;  
ordering means, coupled to the conversion means, for placing the  
formatted data into a sequence of addressable data blocks;  
compression means, coupled to the ordering means, for compressing  
the formatted and sequenced data; compressed data storing means,  
10 coupled to the compression means, for storing as a file the  
compressed sequenced data received from the compression means with  
the unique identification code assigned by the identification  
encoding means; and transmitter means, coupled to the compressed  
data storing means, for sending at least a portion of a specific  
15 file to a specific one of the remote locations.

The present invention further comprises a distribution method  
responsive to requests identifying information to be sent from a  
transmission system to a remote location, the method comprising  
the steps of storing audio and video information in a compressed  
data form; requesting transmission, by a user, of at least a part  
20 of the stored compressed information to the remote location;  
sending at least a portion of the stored compressed information to  
the remote location; receiving the sent information at the remote  
location; buffering the processed information at the remote  
location; and playing back the buffered information in real time  
25 at a time requested by the user.

1           Additionally, the present invention comprises a receiving  
system responsive to a user input identifying a choice of an item  
stored in a source material library to be played back to the  
subscriber at a location remote from the source material library,  
5       the item containing information to be sent from a transmitter to  
the receiving system, and wherein the receiving system comprises  
transceiver means for automatically receiving the requested  
information from the transmitter as compressed formatted data  
blocks; receiver format conversion means, coupled to the  
10       transceiver means, for converting the compressed formatted data  
blocks into a format suitable for storage and processing resulting  
in playback in real time; storage means, coupled to the receiver  
format conversion means, for holding the compressed formatted  
data; decompressing means, coupled to the receiver format  
15       conversion means, for decompressing the compressed formatted  
information; and output data conversion means, coupled to the  
decompressing means, for playing back the decompressed information  
in real time at a time specified by the user.

#### BRIEF DESCRIPTION OF THE DRAWINGS

20           The accompanying drawings, which are incorporated in and  
constitute a part of the specification, illustrate the presently  
preferred apparatus and method of the invention and, together with  
25       the general description given above and the detailed description  
of the preferred embodiment given below serve to explain the  
principles of the invention. In the drawings:

1 Figs. 1a-1g are high level block diagrams showing different configurations of the transmission and receiving system of the present invention;

5 Figs. 2a and 2b are detailed block diagrams of preferred implementations of the transmission system of the present invention;

Fig. 3 is a flowchart of a preferred method of ordering a selection from a library in accordance with the present invention;

10 Fig. 4 is a flowchart of a preferred method of user request via a user interface of the present invention;

Fig. 5 is a flowchart of a preferred method of implementing a queue manager program of the present invention;

Fig. 6 is a block diagram of a preferred implementation of the receiving system of the present invention;

15 Fig. 7 is a flowchart of a preferred method of distribution of the present invention; and

20 Figs. 8a-8e are block diagrams of preferred implementations of data structures and data blocking for items in the audio and video distribution system of the present invention.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

Figs. 1a-1g are high level block diagrams showing different configurations of the transmission and receiving system of the present invention. Figs. 1a, 1b, 1d, 1e, 1f, and 1g each show transmission system 100, described in more detail below with respect to Figs. 2a and 2b. A user of the transmission and receiving system of the present invention preferably accesses

1 transmission system 100 by calling a phone number or by typing  
commands into a computer. The user then chooses audio and/or  
video material from a list of available items which he or she  
wants to listen to and/or watch.

5 As shown in Fig. 1a, the transmission and receiving system  
may preferably comprise a peer to peer configuration where one  
transmission system 100 communicates with one reception system  
200. As shown in Fig. 1b, the transmission and receiving system  
of the present invention may alternatively comprise a plurality of  
10 reception systems 200, 200', 200'', and 200''', which are each  
associated with a single transmission system 100.

15 Fig. 1c shows a high level block diagram of the transmission  
and receiving system of the present invention including remote  
order processing and item database 300, described in more detail  
with respect to Fig. 3. Remote order processing and item database  
300 preferably enables users to access desired items by remote  
communication. The remote order processing and item database 300  
may communicate with a plurality of transmission systems 100,  
100', 100'', and 100''', each of which communicates with a  
20 respective set of reception systems 200, 200', 200'', and 200'''.  
Each of the reception systems in sets 200, 200', 200'', and 200'''  
may preferably communicate with a plurality of users.

25 Fig. 1d shows a high level block diagram of the transmission  
and receiving system of the present invention including a  
transmission system 100 distributing to a plurality of users via a  
reception system 200 configured as a cable television system.

1           Fig. 1e shows a high level block diagram of the transmission  
and receiving system of the present invention including a  
transmission system 100 distributing to a plurality reception  
systems 200 and 200'. In the configuration shown in Fig. 1e,  
5           reception system 200 is a direct connection system wherein a user  
is directly connected to transmission system 100. Reception  
system 200' preferably includes a first cable television system  
200a and a second cable television system 200b. Users of cable  
television systems 200a and 200b are indirectly connected to  
10           transmission system 100

15           Fig. 1f shows a high level block diagram of the transmission  
and receiving system of the present invention including  
transmission system 100 distributing via several channels to  
reception systems 200 and 200'. Reception system 200 is  
preferably non-buffering. In such a system, users are directly  
connected to transmission system 100, as in reception system 200  
in Fig. 1e.

20           Reception system 200' shown in Fig. 1f is a cable television  
system, as shown in reception system 200' of Fig. 1e. In Fig. 1f,  
the reception system 200' is preferably buffering, which means  
that users may receive requested material at a delayed time. The  
material is buffered in intermediate storage device 200c in  
reception system 200'.

25           In the configuration of Fig. 1f, decompression of the  
requested material may preferably occur at the head end of a cable  
television reception system 200'. Thus, distribution may be  
provided to users via standard television encoding methods

1 downstream of the head end of the cable distribution system. This  
method is preferred for users who only have cable television  
decoders and standard television receivers.

5 Fig. 1g shows a high level block diagram of the transmission  
and receiving system of the present invention including  
transmission system 100 distributing to a reception system 200,  
which then preferably transmits requested material over airwave  
communication channels 200d, to a plurality of users. The  
transmission and receiving system shown in Fig. 1g may preferably  
10 transmit either compressed or uncompressed data, depending on the  
requirements and existing equipment of the user. The airwave  
transmission and receiving system shown in Fig. 1g may preferably  
employ either VHF, UHF or satellite broadcasting systems.

15 With respect to the transmission and receiving systems set  
forth in Figs. 1a-1g, the requested material may be fully  
compressed and encoded, partly decompressed at some stage in  
transmission system 100, or fully decompressed prior to  
transmission. The reception systems 200 may either buffer the  
requested material for later viewing, or decompress in real time  
20 the requested material as it is distributed by transmission system  
100. Alternatively, the reception systems 200 of the present  
invention may perform a combination of buffering and non-buffering  
by buffering some of the requested material and decompressing the  
remainder of the requested material for immediate viewing as it is  
distributed by transmission system 100.

25 In direct connection configurations, such as reception  
systems 200 shown in Figs 1e and 1f, the user preferably selects

1 the reception system 200 to which the requested material is sent,  
and optionally selects the time playback of the requested material  
is desired. Accordingly, the user may remotely access the  
transmission system 100 from a location different than the  
5 location of reception system 200 where the material will be sent  
and/or played back. Thus, for example, a user may preferably call  
transmission system 100 from work and send a movie to their house  
to be played back after dinner or at any later time of their  
choosing.

10 In non-direct connection reception systems such as shown in  
reception system 200' of Fig. 1f, intermediate storage device 200c  
may preferably include, for example, sixteen hours of random  
access internal audio and video storage. A reception system with  
such storage is capable of storing several requested items future  
15 playback. The user could then view and/or record a copy of the  
decompressed requested material in real time, or compressed in  
non-real time, at a time of their choosing. Accordingly, the user  
would not have to make a trip to the store to purchase or rent the  
requested material.

20 In any of the transmission and receiving systems illustrated  
in Figs. 1a-1g, the requested material may be copy protected. To  
achieve copy protection, the requested material, as an item, is  
encoded as copy protected during storage encoding in transmission  
system 100. The user may then play back the item only one time.  
The user may also optionally review select portions of the item  
prior to its automatic erasure from the memory of the reception  
25 system 200. In this way, requested material may be distributed to

1 "view only" users and also to "view and copy" users who wish to  
retain copies of the distributed items.

Copy protected programs, when decompressed and played back,  
would have a copy protection technique applied to the analog and  
5 digital output signals. The analog video output is protected from  
copying through the use of irregular sync signals, which makes the  
signal viewable on a standard television but not recordable on a  
audio/video recorder. Digital output protection is effected  
through copy protect bit settings in the digital output signal,  
10 thus preventing a compatible digital recorder from recording the  
digital audio and/or video signal stream. A protected item will  
not be passed to the compressed data port of the digital recorder  
for off line storage.

15 Figs. 2a and 2b illustrate detailed block diagrams of  
preferred implementations of the transmission system 100 of the  
present invention. Transmission system 100 may either be located  
in one facility or may be spread over a plurality of facilities.  
A preferred embodiment of transmission system 100 may preferably  
include only some of the elements shown in Figs. 2a and 2b.

20 Transmission system 100 of a preferred embodiment of the  
present invention preferably includes source material library  
means for temporary storage of items prior to conversion and  
storage in a compressed data library means. The items of  
information may include analog and digital audio and video  
information as well as physical objects such as books and records  
which require conversion to a compatible media type before



1 converting, compressing and storing their audio and video data in  
the compressed data library means.

As shown in Fig. 2a, the source material library means  
included in transmission system 100 preferably includes a source  
material library 111. The source material library 111 may include  
different types of materials including television programs,  
movies, audio recordings, still pictures, files, books, computer  
tapes, computer disks, documents of various sorts, musical  
instruments, and other physical objects. These materials are  
converted to or recorded on a media format compatible to the  
digital and analog inputs of the system prior to being compressed  
and stored in a compressed data library 118. The different media  
formats preferably include digital or analog audio and video  
tapes, laser disks, film images, optical disks, magnetic disks,  
computer tapes, disks and, cartridges.

The source material library 111, according to a preferred  
embodiment of the present invention, may preferably include a  
single source material library or a plurality of source material  
libraries. If there are a plurality of source material libraries,  
they may be geographically located close together or may be  
located far apart. The plurality of source material libraries may  
communicate using methods and channels similar to the methods and  
channel types which libraries may employ for communication with  
the receiving system 200 of the user, or the source material  
libraries may communicated via any available method.

Prior to being made accessible to a user of the transmission  
and receiving system of the present invention, the item must be

1 stored in at least one compressed data library 118, and given a  
unique identification code by identification encoder 112. Storage  
encoding, performed by identification encoder 112, aside from  
giving the item a unique identification code, optionally involves  
5 logging details about the item, called program notes, and  
assigning the item a popularity code. Storage encoding may be  
performed just prior to conversion of the item for transmission to  
reception system 200, at any time after starting the conversion  
process, or after storing the item in the compressed data library  
10 118.

In a preferred embodiment of the present invention, the  
method of encoding the information involves assigning a unique  
identification code and a file address to the item, assigning a  
popularity code, and inputting the program notes. This process is  
15 identical for the any of different media types stored in the  
source material library 111.

The transmission system 100 of the present invention also  
preferably includes conversion means 113 for placing the items  
from source material library 111 into a predetermined format as  
formatted data. In the preferred embodiment, after identification  
20 encoding is performed by identification encoder 112, the retrieved  
information is placed into a predetermined format as formatted  
data by the converter 113. The items stored in source material  
library 111 and encoded by identification encoder 112 may be in  
either analog or digital form. Converter 113 therefore includes  
analog input receiver 127 and digital input receiver 124. If

1 items have only one format, only one type of input receiver 124 or  
127 is necessary.

When the information from identification encoder 112 is digital, the digital signal is input to the digital input receiver 124 where it is converted to a proper voltage. A formatter 125 sets the correct bit rates and encodes into least significant bit (lsb) first pulse code modulated (pcm) data. Formatter 125 includes digital audio formatter 125a and digital video formatter 125b. The digital audio information is input into a digital audio formatter 125a and the digital video information, if any, is input into digital video formatter 125b. Formatter 125 outputs the data in a predetermined format.

When the retrieved information from identification encoder 112 is analog, the information is input to an analog-to-digital converter 123 to convert the analog data of the retrieved information into a series of digital data bytes. Converter 123 preferably forms the digital data bytes into the same format as the output of formatter 125.

Converter 123 preferably includes an analog audio converter 123a and an analog video converter 123b. The analog audio converter 123a preferably converts the retrieved audio signal into pcm data samples at a fixed sampling rate. The analog video converter 123b preferably converts the analog video information, retrieved from identification encoder 123, into pcm data also at fixed sampling rates.

If the retrieved information being converted contains only audio information, then the audio signal is fed to the appropriate

1 digital audio input or analog audio input. When the retrieved  
information contains both audio and video information, the audio  
and video signals are passed simultaneously to the audio and video  
converter inputs. Synchronization between the audio and video  
5 data can be maintained in this way.

If, for example, the retrieved information to be converted  
from the source material library 111 is a motion picture film, the  
picture frames in the film are passed through a digital telecine  
device to the digital input receiver 124. Format conversion is  
10 then preferably performed by digital video formatter 125b.  
Accompanying audio information is passed through an optical or  
magnetic digital playback device. This device is connected to  
digital audio formatter 125a.

In some cases, such inter-library transfers, incoming  
materials may be in a previously compressed form so that there is  
no need to perform compression by precompression processor 115 and  
compressors 128 and 129. In such a case, retrieved items are  
passed directly from identification encoder 112 to the compressed  
data formatter 117. The item database records, such as the  
15 program notes may also be input from another system, to the  
compressed data formatting section 117, where this data, if  
necessary, is reformatted to make it compatible with the material  
stored in compressed data library 118. Such material may be  
received in the form of digital tapes or via existing  
20 communication channels and may preferably input directly to a  
short term storage 117' in the compressed data formatting section  
117.

1           The transmission system 100 of the present invention also  
preferably includes ordering means for placing the formatted  
information into a sequence of addressable data blocks. As shown  
in Fig. 2a, the ordering means in the preferred embodiment  
5 includes time encoder 114. After the retrieved information  
converted and formatted by the converter 113, the information may  
be time encoded by the time encoder 114. Time encoder 114 places  
the blocks of converted formatted information from converter 113  
into a group of addressable blocks. The preferred addressing  
10 scheme employs time encoding. Time encoding allows realignment of  
the audio and video information in the compressed data formatting  
section 117 after separate audio and video compression processing  
by precompression processor 115 and compressor 116.

15           The converted formatted information of the requested material  
is then preferably in the form of a series of digital data bytes  
which represent frames of video data and samples of the audio  
data. A preferred relationship of the audio and video bytes to  
each other is shown in Fig. 8. Incoming signals are input and  
converted in sequence, starting with the first and ending with the  
20 last frame of the video data, and starting with the first and  
ending with the last sample of the audio data. Time encoding by  
time encoder 114 is achieved by assigning relative time markers to  
the audio and video data as it passes from the converter 113  
through the time encoder 114 to the precompression processor 115.  
25 Realignment of audio and video data, system addressing of  
particular data bytes, and user addressing of particular portions  
of items are all made possible through time encoding.

1 Through the use of the address of an item and its frame  
number it is possible to address any particular block of audio or  
video data desired. From here, further addressing down to the  
individual byte is possible. Frames and groups of frames may  
5 preferably be further broken down, as necessary to the individual  
bytes and bits, as required for certain processing within the  
system.

10 User and system addressing requirements dictate the level of  
granularity available to any particular section of the system.  
Users are able to move through data in various modes, thus moving  
through frame addresses at various rates. For example, a user may  
desire to listen to a particular song. They may preferably enter  
the song number either when requesting the item from the  
compressed data library 118 and only have that song sent to their  
receiving system 200 or they may preferably select that particular  
song from the items buffered in their receiving system 200.  
Internal to the system, the song is associated with a starting  
frame number, which was indexed by the system operator via the  
storage encoding process. The system item database may contain  
20 information records for individual frames or groups of frames.  
These can represent still frames, chapters, songs, book pages,  
etc. The frames are a subset of, and are contained within, the  
items stored in the compressed data library 118. Time encoding by  
time encoder 114 makes items and subsets of items retrievable and  
addressable throughout the transmission system 100. Time encoding  
25 enables subsequent compression of the information to be improved

1 because data reduction processes may be performed in the time  
dimension. This is described in greater detail below.

5 The transmission system 100 of the present invention also  
preferably includes data compression means for compressing the  
formatted and sequenced data. The sequence of addressable data  
blocks which was time encoded and output by time encoder 114 is  
preferably sent to precompression processor 115. The data  
arriving from time encoder 114 may be at various frame rates and  
of various formats. Precompression processor 115 preferably  
10 includes audio precompressor 115a and video precompressor 115b.

15 Video precompression processor 115b buffers incoming video  
data and converts the aspect ratio and frame rate of the data, as  
required by compression processor 116. The frame buffer 131 of  
video precompression processor 115b holds all incoming data until  
the data is compressed by the data compressor 116. The incoming  
video data is processed for sample rate optimization, aspect ratio  
fitting and buffered in buffer 130 for compression processing by  
the video precompression processor 115b.

20 Video precompression processor 115b processes the incoming  
video data so that it fits into the aspect ratio of the  
transmission and receiving system of the present invention. When  
incoming material with a different aspect ratio than the aspect  
ratio of the system is selected, a chosen background is preferably  
placed around the inactive region of the video information. In  
25 this way, no data is lost to differences in the aspect ratio  
between incoming material, and the converted and compressed data  
stored in the transmission system 100. Images resulting from a

1 different aspect ratio may have an inactive region where  
background information is contained, or may be converted into a  
best fit arrangement. Output from the video precompression  
processor 115b is stored in the frame buffer 131, which is dual  
5 ported and is directly addressable by video compressor 129.

The incoming audio data is processed for sample rate and word  
length optimization and is then buffered in buffer 130 for  
compression processing by the audio precompression processor 115a.  
Audio precompression processor 115a may preferably transcode  
10 incoming audio information, as required, to create the optimum  
sample rate and word lengths for compression processing. The  
output of the audio precompression processor 115a is a constant  
sample rate signal of a fixed word length which is buffered in  
frame buffer 130. The frame buffer 130 is dual ported and is  
15 directly addressable by audio compressor 128. Blocking the audio  
data into frames at audio precompression processor 115a makes it  
possible to work with the audio data as addressable packets of  
information.

Once precompression processing is finished, the frames are  
20 compressed by the data compressor 116. Compressor 116 preferably  
comprises an audio data compressor 128 and a video data compressor  
129. The benefits of data compression performed by data  
compressor 116 are shortened transmission time, faster access  
time, greater storage capacity, and smaller storage space  
requirements. Compression processing performed by compressors 128  
and 129 requires multiple samples of data to perform optimum  
compression. Audio and video information is preferably converted



1 into blocks of data organized in groups for compression processing  
by audio compressor 128 and video compressor 129, respectively.  
These blocks are organized as frames, and a number of frames are  
contained respectively in the buffers 130 and 131. By analyzing a  
5 series of frames it is possible to optimize the compression  
process.

Audio data is preferably compressed by audio compressor 128  
by application an adaptive differential pulse code modulation  
(ADPCM) process to the audio data. This compression process,  
10 which may be implemented by the apt-x 100 digital audio  
compression system, is manufactured by Audio Processing Technology  
(APT). Audio compression ratios of 8X or greater are achieved  
with the APT system.

Compression by compressor 116 may be performed on a group of  
24 video frames may preferably be passed in sequence to the frame  
buffer 130 of the video precompression processor 115b where they  
are analyzed by video compressor 129 which performs data reduction  
processing on the video data. Video compression is preferably  
performed by video compressor 129. Video compression is achieved  
20 by the use of processors running algorithms designed to provide  
the greatest amount of data compression possible. Video data  
compression preferably involves applying two processes: a discrete  
cosine transform, and motion compensation. This process is  
described in "A Chip Set Core of Image Compression", by Artieri  
and Colavin. Multiple frames of video data may preferably be  
analyzed for patterns in the horizontal (H), vertical (V),  
25 diagonal (zigzag) and time (Z) axis. By finding repetition in the

1 video data, redundancy may be removed and the video data may be  
compressed with a minimal loss of information.

5 In accordance with a preferred embodiment of the present  
invention, the transmission system 100 may further comprise  
compressed data storing means, coupled to the compression means,  
for storing as a file the compressed sequenced data with the  
unique identification code received from the data compression  
means. After compression processing by compressor 116, the  
compressed audio and video data is preferably formatted and placed  
10 into a single file by the compressed data storage means 117. The  
file may contain the compressed audio and/or video data, time  
markers, and the program notes. The file is addressable through  
the unique identification code assigned to the data by the  
identification encoder 112.

15 Further, according to the present invention, the transmission  
system preferably includes compressed data library means for  
separately storing composite formatted data blocks for each of the  
files. The compressed data storage means preferably includes  
compressed data library 118, as shown in Fig. 2b. After the data  
is processed into a file by the compressed data storage means 117,  
20 it is preferably stored in a compressed data library 118. In a  
preferred embodiment, compressed data library 118 is a network of  
mass storage devices connected together via a high speed network.  
Access to any of the files stored in compressed data library 118  
is available from multiple reception systems 200 connected to the  
transmission and receiving system.

1           Stored items are preferably accessed in compressed data  
library 118 through a unique address code. The unique address  
code is a file address for uniquely identifying the compressed  
data items stored in the compressed data library section of a  
5           library system. This file address, combined with the frame  
number, and the library system address allow for complete  
addressability of all items stored in one or more compressed data  
libraries 118. Compressed data library addresses along with  
receiving system addresses are used to form a completely unique  
10          address for distribution system control.

          The unique address code is an address assigned to the item by  
the system operator during storage encoding, which is preferably  
done prior to long term storage in the compressed data library  
118. In a preferred embodiment, the unique address code is used  
for requesting and accessing information and items throughout the  
transmission and receiving system. The unique address code makes  
access to the requested data possible.

          The storage encoding process performed by encoder 112 also  
allows entry of item notes and production credits. Production  
credits may include the title, names of the creators of the item  
20          such as the producer, director, actors, etc. Other details  
regarding the item which may be of interest and which may make the  
items more accessible are kept in an item database.

          Item addresses are mapped to item names by identification  
25          encoder 122 and may preferably be used as an alternative method of  
accessing items. The item names are easier to remember, thus  
making user access more intuitive by using item names. The

1 storage encoding entry process performed in identification encoder  
112 operates a program which updates a master item database  
containing facts regarding items in the compressed data library  
system. The storage encoding process may be run by the system  
5 operator whereby the system operator accesses the master item  
database to track and describe items stored in one or more  
compressed data libraries. The names and other facts in the item  
database may preferably be updated at any time via the storage  
encoding process. Changes made to the master item database may be  
10 periodically sent to the remote order processing and item database  
300.

As described in more detail later, a user may preferably  
access an item via its unique identification code, via its title,  
or the user may use other known facts for accessing an item. The  
15 user may access items in the compressed data library 118 directly  
using the unique address code or the user may obtain access via  
the remote order processing and item database 300. Indirect  
access via the remote order processing and item database 300 is  
possible using, for example, a synthesized voice system, a query  
20 type of computer program interface, or customer assistance  
operators. In addition to providing interactive access to the  
remote order processing and item database 300, a catalog listing  
some or all available titles may also preferably be published.  
With a published catalog, users may obtain the unique address code  
for an item very easily thereby allowing for retrieval from the  
compressed data library 118 without any help from an interactive  
25 system.

1 To achieve user access via an interactive system, facts about  
the items may be kept in files as a part of the items or the facts  
may be kept separately, for example, by systems which only to  
inform users of the available items and take orders. For example,  
5 in systems which have portions split in separate locations, the  
facts about the items may be separated from the items themselves  
and stored in separate files. A system of this type can  
distribute user orders to other portions of the transmission and  
receiving system for ultimate distribution to the requesting user.  
10 Further, to support a plurality of users, multiple versions of the  
item database may preferably reside either on multiple database  
servers, in catalogs, or on other computer systems.

15 The item database master may reside in the system control  
computer 1123 where may be is updated and kept current to the  
contents of the compressed data library 118. The data stored in  
the item database master may be accessed by users via application  
programs, running on the system control computer 1123, and on the  
reception system 200 of the user. Users may connect to the item  
database via any available telecommunication channels. Copies of  
20 the item database master may be updated and informed of new  
entries into compressed data library 118 at periodic intervals  
determined by the system manager.

25 Other copies of the item database master may also be made  
available to users from the remote order processing and item  
database 300 which batch processes and downloads user requests to  
the control computer 1123 of the compressed data library 118 via  
standard telecommunications or high speed communication channels.

1 Moreover, multiple remote order processing and item database 300 sites make it possible for more locations to process orders than there are library facilities, and thus make order processing more efficient.

5 Preferably, access of a requested item via the remote order processing and item database 300 operates as follows. If the user does not know the title of the desired item, he or she may request the item by naming other unique facts related to the item. For example, a user would be able to access an item about Tibetan  
10 Medicine by asking for all items which include information about "Tibet" and include information about "Medicine." The remote order processing and item database 300 would then be searched for all records matching this request. If there is more than one item with a match, each of the names of the matching items are  
15 preferably indicated to the user. The user then selects the item or items that he or she desires. Upon selection and confirmation, by the user, a request for transmission of a particular item or items is sent to the distribution manager program of the system control computer 1123 . The request contains the address of the  
20 user, the address of the item, and optionally includes specific frame numbers, and a desired viewing time of the item.

The storage encoding process performed by identification encoder 112 also allows entry of a popularity code. The popularity code is preferably assigned on the basis of how often the corresponding item is expected to be requested from the compressed data library 118. This popularity code can be used to determine the most appropriate form of media for storage of the

1 compressed data in a mixed media system. Mixed media systems are  
preferably employed as more cost effective storage in very large  
compressed data libraries 118. Once assigned, the popularity code  
may be dynamically updated, by factoring item usage against system  
5 usage. Thus, stored items are dynamically moved to the most  
appropriate media over their life in the compressed data library  
118. If a particular item stored in compressed data library 118  
is retrieved frequently by users, storage in compressed data  
library 118 is preferably on higher speed, more reliable, and  
10 probably more expensive media. Such media includes Winchester and  
magneto-optical disks.

If an item stored in compressed data library 118 is retrieved  
less frequently, it may be stored in the compressed data library  
118 on a digital cassette tape. Examples of such cassette tapes  
are a Honeywell RSS-600 (Honeywell Inc. Minneapolis Minnesota),  
Summus JukeBoxFilm and tape library (Summus Computer Systems,  
Houston, TX 800-255-9638), or equivalent cassette tapes. All  
items stored in the compressed data library 118 are on line and  
are connected to the high speed network. Thus, they may be  
20 readily accessed.

Instead of using a remote order processing and item database  
300, the compressed data library 118 may include the program notes  
which were input by the system operator. The program notes may  
preferably include the title of the item stored in the compressed  
data library 118, chapter or song titles, running times, credits,  
the producer of the item, acting and production credits, etc. The  
program notes of an item stored in the compressed data library 118

1 may be thus contained within the compressed data file formed in  
the compressed data formatter 117.

5 In some cases, where multiple compressed data libraries 118  
are organized, the popularity code may dictate distribution of a  
particular item to multiple distribution systems. In such cases,  
a copy of the compressed data is sent to another library and the  
other library can then distribute the compressed data to users  
concurrently with the original compressed data library 118.

10 The compressed data library 118 is composed of a network of  
storage devices connected through a High Performance Parallel  
Interface (HPPI) Super Controller (available from Maximum Strategy  
Inc., San Jose, Ca.). Therefore, multiple communication  
controllers may preferably access the large quantity of data  
stored in compressed data library 118 at very high speeds for  
15 transfer to a reception system 200 of a user upon request. For  
more details on this configuration see Ohrenstein, "Supercomputers  
Seek High Throughput and Expandable Storage", Computer Technology  
Review, pp. 33-39 April 1990.

20 The use of an HPPI controller allows file placement onto  
multiple mass storage devices of the compressed data library 118  
with a minimum of overhead. Database management software controls  
the location and tracking of the compressed data library 118 which  
can be located across multiple clusters of file servers connected  
together by one or more high speed networks over multiple systems.

25 The transmission system 100 of the present invention may also  
preferably include library access/interface means for receiving  
transmission requests to transmit items and for retrieving



1 formatted data blocks stored in the compressed data library 118  
corresponding to the requests from users. The compressed audio  
and/or video data blocks, along with any of the information about  
the item stored in the compressed data library 118 may be accessed  
5 via library access interface 121. The library access interface  
121 receives transmission requests either directly from the users  
or indirectly by remote order processing and item database 300.  
The transmission format means 119 receives the request and  
retrieves the composite formatted data block of the requested item  
10 stored in compressed data library 118 and converts the compressed  
formatted data block into a format suitable for transmission. The  
requested item is then sent to the user via the transmitter 122 or  
directly via interface 121.

15 In a preferred embodiment of the present invention, customer  
access of an item stored in compressed data library 118 via the  
library access interface 121 may be performed in various ways.  
The methods of requesting a stored item are analogous to making an  
airline reservation or transferring funds between bank accounts.  
Just as there are different methods available for these processes  
20 it is desirable to have several ordering methods available to the  
users of the system of the present invention. For example,  
telephone tone decoders and voice response hardware may be  
employed. Additionally, operator assisted service or user  
terminal interfaces may be used.

25 Customer access via telephone tone decoders and voice  
response hardware is completely electronic and may preferably be  
performed between a system user and a computer order entry system.

1 The user may obtain help in ordering an item from a computer  
synthesized voice. With such an access method, the user will  
normally be accessing a dynamic catalog to assist them.  
Confirmation of selections and pricing information may preferably  
5 be given to the user prior to completion of the transaction.

This process of access, performed by remote order processing  
and item database configuration 300, shown in Fig. 1c, preferably  
includes the following steps, shown in flowchart 3000 of Fig. 3.  
First, the user calls the system access number (step 3010). Upon  
10 successfully dialing the system access number, the user receives  
instructions from the system (step 3020). The instructions may  
preferably include steps the user must take in order to place an  
order. Preferably, the instructions may be bypassed by the  
experienced user who knows how to place an order.

15 The user then enters a customer ID code by which the system  
accesses the user's account, and indicates to the system that the  
user is a subscriber of the system (step 3030). In response to  
the user entering his ID code in step 3030 the system confirms  
whether the user is in good standing (step 3040). If the user is  
20 in good standing, the system queues the user to input his request  
(step 3050).

The user request may preferably be made from a catalog sent  
to each of the subscribers of the system. The user will  
preferably identify his choice and enter the corresponding  
identification code of the item (step 3060). The system then  
preferably confirms the selection that the user has made and  
25 informs the user of the price of the selection (step 3070).

1           The user then indicates whether the confirmation performed in  
step 3070 is correct (step 3080). If the confirmation performed  
in step 3070 is correct, the user so indicates and then inputs a  
desired delivery time and delivery location (step 3090).

5           If the confirmation performed in step 3070 does not result in  
the selection desired by the user, the user re-inputs the item  
identification code in step 3060 and the confirmation steps 3070  
and 3080 are repeated. Therefore, proper selection of the  
selected item is insured. Once there is confirmation, the user  
10 enters the playback time and destination in step 3090.

15           The user then preferably confirms that the order is correct  
(step 3100). The confirmation performed in step 3100 includes  
confirmation of the entire transaction including the selected  
item, the selected time of playback, and the location of playback.  
The transaction is then completed and the request is placed on a  
transmission queue at the appropriate source material library 111  
(step 3110).

20           Access by the users via operator assisted service includes  
telephone operators who answer calls from the users. The  
operators can sign up new customers, take orders, and help with  
any billing problems. The operators will preferably have computer  
terminals which give them access to account information and  
available program information. Operators can also assist a user  
who does not know a title by looking up information stored in  
files which may contain the program notes, as described above.  
25 Once the chosen program is identified, the operator informs the  
user of the price. After the user confirms the order, the user

1 indicates the desired delivery time and destination. The operator  
then enters the user request into the system. The request is  
placed in the transmission queue.

Access by a user terminal interface method provides the user  
with access from various terminals including personal computers,  
and specialized interfaces built into the reception system 200 of  
the user. Such access allows a user to do a search of available  
programs from a computer screen. This process involves the steps  
4000 shown in Fig. 4.

Fig. 4 is a flowchart of a preferred method of user request  
via a user interface of the present invention. In the preferred  
method of Fig. 4, the user first logs onto the user terminal  
interface (step 4010). After the user logs on, the user may  
preferably select a desired item by searching the database of  
available titles in the library system control computer 1123 or  
any remote order processing and item database 300 (step 4020).  
The search may preferably be performed using the database  
containing the program notes, described above with respect to  
Figs. 2a and 2b. It is possible to process orders and operate a  
database of available titles at multiple locations remote of the  
source material library 111. Users and order processing operators  
may preferably access such remote systems and may place  
transmission requests from these systems. Orders placed on these  
systems will be processed and distributed to the appropriate  
libraries. After the desired item is found, the user selects the  
item for transmission at a specific time and location (step 4030).

1 To complete an order, the remote order processing and item  
database 300 preferably connects to the compressed data library  
118 of choice via the library access interface 121 and  
communicates with the library system control computer 1123.  
5 Preferably the user's account ID, identification of the item for  
transmission and the chosen destination for the item are  
communicated. Through employment of distributed order processing  
systems of this type many orders may be processed with minimal  
library overhead.

10 All transmission requests from the access methods are placed  
into a transmission queue managed by the library system control  
computer 1123. This queue is managed by a program that controls  
the distribution of the requested items to the reception system  
200 of the user. The queue manager program also operates in the  
15 system control computer and keeps track of the user ID, the chosen  
program and price, the user channel type, the number of requests  
for a given program, the latest delivery time, and the compressed  
data library media type (for example, high speed or low speed).  
From this information, the queue manager program makes best use of  
20 the available distribution channels and media for efficient  
transmission and storage of the requested items.

The queue manager program also manages the file transmission  
process for multiple requests for a single file, stored in the  
compressed data library 118. During a given time period, the  
25 queue manager program will optimize access to the compressed data  
library 118, wherever possible it will place the data on multiple

1 outputs for simultaneous transmission to more than one requesting  
user.

5 The conversion performed by transmission data converter 119  
encodes the data for the transmission channel. The transmission  
data converter transfers the desired segments of data from the  
compressed data library 118 onto the communication channel which  
is used to deliver the data to the reception system 200.

10 The transmission system 100 of the present invention  
preferably further includes transmitter means 122, coupled to the  
compressed data library 118, for sending at least a portion of a  
specific file to at least one remote location. The transmission  
and receiving system of the present invention preferably operates  
with any available communication channels. Each channel type is  
accessed through the use of a communications adaptor board or  
processor connecting the data stored in the transmission format  
converter 119 to the transmission channel.

15 A preferred embodiment of the present invention also includes  
means by which to access users via common access lines. These may  
include standard telephone, ISDN or B-ISDN, microwave, DBS, cable  
20 television systems, MAN, high speed modems, or communication  
couplers. Metropolitan Area Networks (MANs) which are common  
carrier or private communication channels are designed to link  
sites in a region. MANs are described by Morreale and Campbell in  
"Metropolitan-area networks" (IEEE Spectrum, May 1990 pp. 40-42).  
25 The communication lines are used to transmit the compressed data  
at rates up to, typically, 10 Mb/sec.

1           In order to serve a multitude of channel types, a preferred  
embodiment of the present invention includes a multitude of output  
ports of each type connected to one or more computers on the  
transmission and receiving system. The management of transmission  
5   is then distributed. That is, the computer controlling the  
transmission queue tells the transmission encoding computer its  
task and then the task is executed by the transmission encoding  
computer, independent of the transmission queue computer. The  
transmission queue computer provides the data for transmission by  
10   the file server which also distributes to other transmitters  
located in the same or other transmission encoding computers.

15           Fig. 5 is a flowchart of a preferred method of implementing a  
queue manager program of the present invention. The queue manager  
program, in the distribution process, preferably confirms  
availability of an item from the compressed data library 118 and  
logically connects the item stored in compressed data library 118  
to the communications controller, illustrated in Fig. 2a (step  
5010). After availability is confirmed in step 5010, the data  
awaits transmission by the transmitter 122.

20           After availability is confirmed in step 5010, the  
communications controller preferably makes the physical connection  
to the reception system 200 of the user (step 5020). This is  
normally done by dialing the receiving device of the user. The  
reception system 200 preferably answers the incoming call and  
25   confirms the connection (step 5030).

          Once connected to the reception system 200, in steps 5020 and  
5030, the data stored in compressed data library 118 is preferably

1 transferred in data blocks from the compressed data library 118 to  
the communications controller (step 5040). The data blocks are  
buffered by the communications controller. The buffered data is  
sent down the communications channel to the reception system 200  
5 by transmitter 122 (step 5050).

The transmitter 122 places the formatted data onto the  
communications channel. This is an electrical conversion section  
and the output depends upon the chosen communication path. The  
signal is sent to the reception system 200 in either a two way or  
10 a one way communication process. In a standard telephone  
connection, the transmitter 122 is preferably a modem. When using  
an ISDN, the transmitter 122 is preferably a data coupler.

In a preferred embodiment of the present invention, many  
forms of communication channels may be employed. Distribution of  
15 information is by common carrier communication channels whenever  
possible. These channels include common telephone service, ISDN  
and Broadband ISDN, DBS cable television systems, microwave, and  
MAN.

In order that reception is performed efficiently, the  
20 reception system 200 confirms reception of the initial data block  
before receiving the remaining data blocks whenever possible (step  
5060). After all data blocks have been received and reception is  
confirmed, the communications controller breaks the physical  
connection to the reception system 200 (step 5070). Then,  
25 confirmation of the transmission is sent to the queue manager  
(step 5080). Finally, the queue manager updates the list and



1 sends the information to the billing program, which updates the  
account of the user (step 5090).

When item distribution occurs through a broadcasting method  
such as a communications satellite, the process is one way, with  
5 ongoing reception not being confirmed by the reception system 200.  
In these situations, some further redundancy is included by  
transmission formatter 122 with the data blocks for error  
correction processing to be performed in the reception system 200.  
In such one way communication situations, the queue manager  
10 program running in library system control computer 1123 confirms  
reception, via telephone line connection for example, to the  
reception system 200 after distribution. This should occur prior  
to updating the user's account and the dispatch lists.

The real time output signals are output to a playback system  
such as an audio amplifier and/or television. This output may  
also be sent to an audio/video recorder for more permanent  
storage. Moreover, in the preferred embodiment only non-copy  
protected data can be recorded on an audio/video recorder. Any  
material which is copy protected will be scrambled at the video  
20 output in a way which makes it viewable on a standard audio/video  
receiver but does not allow for recording of the material.

The reception system 200 has playback controls similar to the  
controls available on a standard audio/video recorder. These  
include: play, fast forward, rewind, stop, pause, and play slow.  
25 Since items are preferably stored on random access media, the fast  
forward and rewinding functions are simulations of the actual  
events which occur on a standard audio/video recorder. Frames do

1 not tear as on an audio/video recorder, but in fast play modes  
they go by very quickly.

5 The library access interface 121 in the reception system 200  
preferably includes a title window where a list of available  
titles are alphabetically listed. This window has two modes:  
local listing of material contained within the library system  
control computer 1123, and library listing for all available  
titles which may be received from the available, remotely  
accessible libraries. The titles listed in this window are sent  
10 from the database on the library system control computer 1123 or  
the remote order processing and item database 300.

15 The system may also preferably include dispatching control  
software which receives input from the remote order processing and  
item database 300 and sends distribution requests to the  
distribution systems. In instances where not all items are  
contained in each of the compressed data libraries 118, the  
dispatching software will keep a list of the available titles in a  
particular compressed data library 118. The dispatch software may  
also preferably coordinate network traffic, source material  
20 library 111 utilization, source material library 111 contents, and  
connection costs. By proper factoring of these variables,  
efficient use of the available distribution channels may be  
achieved.

25 Fig. 6 illustrates a block diagram of a preferred  
implementation of the reception system 200 according to the  
present invention. The reception system 200 is responsive to user  
requests for information stored in source material library 111.

1 The reception system 200 includes transceiver 201 which receives  
the audio and/or video information transmitted by transmitter 122  
of the transmission system 100. The transceiver 201 automatically  
receives the information from the transmitter 122 as compressed  
5 formatted data blocks.

The transceiver 201 is preferably connected to receiver  
format converter 202. The receiver format converter 202 converts  
the compressed formatted data blocks into a format suitable for  
playback by the user in real time.

10 In the reception system 200 of the present invention, the  
user may want to play back the requested item from the source  
material library 111 at a time later than when initially  
requested. If that is the case, the compressed formatted data  
blocks from receiver format converter 202 are stored in storage  
15 203. Storage 203 allows for temporary storage of the requested  
item until playback is requested.

When playback is requested, the compressed formatted data  
blocks are sent to data formatter 204. Data formatter 204  
processes the compressed formatted data blocks and distinguishes  
20 audio information from video information.

The separated audio and video information are respectively  
decompressed by audio decompressor 209 and video decompressor 208.  
The decompressed video data is then sent simultaneously to digital  
video output converter 211 and analog output converter 213. The  
decompressed audio data is sent simultaneously to digital audio  
output converter 212 and analog audio output converter 214. The  
25 outputs from converters 211-214 are produced in real time.

1       The real time output signals are output to a playback system  
such as a TV or audio amplifier. They may also be sent to an  
audio/video recorder of the user. By using the reception system  
200 of the present invention, the user may utilize the stop,  
5       pause, and multiple viewing functions of the receiving device.  
Moreover, in a preferred embodiment of the present invention, the  
output format converters may be connected to a recorder which  
enables the user to record the requested item for future multiple  
playbacks.

10       Fig. 7 is a flow chart 400 of a preferred method of  
distribution of the present invention. The distribution method is  
preferably responsive to requests identifying information to be  
sent from the transmission system 100 to remote locations. Method  
400 assumes that the items have already been stored in compressed  
15       data library 118.

As illustrated in Fig. 7, the first step of the distribution  
method 400 involves retrieving the information for selected items  
in the source material library 111, upon a request by a user of  
the distribution system (step 412). This is analogous to taking  
20       books off of a shelf at the local public library after the person  
has decided that he or she would like to read them.

After the information for the selected items is retrieved in  
step 412, the distribution method 400 of the present invention  
further comprises the step of processing the information for  
efficient transfer (step 413). The processing performed in step  
413 preferably includes assigning a unique identification code to  
the retrieved information performed by identification encoder 112,

1 shown and described with respect to Fig. 2a (step 413a). The  
processing also preferably includes placing the retrieved  
information into a predetermined format as formatted data by  
converter 113 (step 413b), and placing the formatted data into a  
5 sequence of addressable data blocks by ordering means 114 (step  
413c).

Processing step 413 also includes compressing the formatted  
and sequenced data performed by data compressor 116 (step 413d),  
and storing as a file the compressed sequenced data received from  
10 the data compression means with the unique identification assigned  
by the identification encoding means (step 413e).

After the information is processed for efficient transfer, in  
substeps 413a-e of step 413, the distribution method 400 of the  
present invention preferably includes the step of storing the  
15 processed information is stored in a compressed data library  
(step 414). Preferably, the compressed data library is analogous  
to compressed data library 118, described with respect to Fig. 2a.

After the information is stored in a compressed data library  
118, the transmission and receiving system preferably waits to  
20 receive a transmission request (step 415). Upon receiving a  
transmission request, from transmission system 100, the compressed  
formatted data is preferably converted for output to a reception  
system 200, selected by the user. The information is preferably  
transmitted over an existing communication channel to a reception  
system 200, and is received by that system (step 417). When the  
25 information is received in step 417, it is preferably formatted

1 for the particular type of reception system 200 to which the  
information is sent.

5 The received information is preferably buffered (step 418) by  
a storage means analogous to element 203 shown in Fig. 3. The  
information is preferably buffered so that it may be stored by the  
user for possible future viewings. The requested information is  
then played back to the reception system 200 of the user at the  
time requested by the user (step 419).

10 Figs. 8a-8e are block diagrams of preferred implementations  
of data structures and data blocking for items in the audio and  
video distribution system. Fig. 8a shows the block structure of  
video data where a video frame 812 is composed of a plurality of  
video samples 811, and a second of video 813 is composed of a  
plurality of video frames 812.

15 Fig. 8b shows the block structure of audio data where an  
audio data frame 822 is composed of a plurality of audio samples  
821, and a second of audio 823 is composed of a plurality of audio  
data frames 822. Fig. 8c shows the block structure of a data  
frame 832 composed of a plurality of data bytes 831. The  
20 combination of the audio frames 812, video frames 822, and data  
frames 832 comprise the elements of a single item. Fig. 8d shows  
a block representation of for three illustrative items which may  
be stored in the source material library 111. Each of items 1-3  
contains its own arrangement of video frames 812, audio frames  
822, and data frames 832.

25 Fig. 8e shows methods of distribution to reception systems  
200 with both multiplexed and non-multiplexed signal paths, both

1 addressed and non-addressed blocks of items. A block of an item  
may be an entire item or, alternatively, may be only a portion of  
an item, as selected by a user. Further, the blocks may be may be  
composed of either compressed, partially compressed, or fully  
5 decompressed data, as required by the configuration of the  
reception system 200.

As shown in Fig. 8e, the same block, for example, block 1,  
may be simultaneously transmitted over different distribution  
channels. The blocks when transmitted over one of the  
distribution channels may have receiver addresses appended to the  
10 blocks or the reception system 200 may have been preconfigured to  
receive the blocks comprising data frames for particular items  
from the active distribution channel.

Other embodiments of the invention will be apparent to those  
skilled in the art from consideration of the specification and  
15 practice of the invention disclosed herein. It is intended that  
the specification and examples be considered as exemplary only,  
with the true scope and spirit of the invention being indicated by  
the following claims.

What is claimed is:

1. A transmission system for providing information to remote locations, the transmission system comprising:

library means for storing items;

identification encoding means for retrieving the information for the items from the library means and for assigning a unique identification code to the retrieved information;

conversion means, coupled to the identification encoding means, for placing the retrieved information into a predetermined format as formatted data;

ordering means, coupled to the conversion means, for placing the formatted data into a sequence of addressable data blocks;

compression means, coupled to the ordering means, for compressing the formatted and sequenced data;

compressed data storing means, coupled to the data compression means, for storing as a file the compressed, sequenced data received from the data compression means with the unique identification code assigned by the identification encoding means; and

transmitter means, coupled to the compressed data storing means, for sending at least a portion of a file to one of the remote locations.

2. A transmission system as recited in claim 1, wherein the transmitter means includes:



transmission format means for placing the composite formatted data block onto a communication path.

3. A transmission system as recited in claim 1, wherein the information in the items includes analog signals, and wherein the conversion means further comprises:

converting means, coupled to the identification encoding means, for A/D converting the analog data of the retrieved information into a series of digital data bytes; and

formatting means, coupled to the converting means, for converting the digital data bytes into formatted data with a predetermined format.

4. A transmission system as recited in claim 1, wherein the information in the items includes digital signals, and wherein the conversion means further comprises:

digital input receiver means, coupled to the identification encoding means, for converting the digital data of the retrieved information into predetermined voltage levels; and

formatting means, coupled to the digital input receiver means, for converting the predetermined voltage levels into formatted data with a predetermined format.

5. A transmission system as recited in claim 3, wherein the information in the items includes digital signals, and wherein the conversion means further comprises:

digital input receiver means, coupled to the identification encoding means, for converting the digital data of the retrieved information into predetermined voltage levels; and

formatting means, coupled to the digital input receiver means, for converting the predetermined voltage levels into formatted data with the predetermined format.

6. A transmission system as recited in claim 2, wherein the compressed data storing means further comprises:

compressed data library means for separately storing composite formatted data blocks for each of the files converted and stored.

7. A transmission system as recited in claim 6, further comprising:

system control interface means, coupled to the transmission format means, for generating a visual listing of available items; and

library access interface means, coupled to the transmission format means, for receiving transmission requests to transmit items, and for retrieving formatted data blocks stored in the compressed data library means corresponding to the requests from subscribers.

8. A transmission system as recited in claim 1, further comprising:

precompression data processing means, coupled to the ordering means, for storing the formatted data.

9. A transmission system as recited in claim 1, wherein the information in the items includes analog audio information, and wherein the conversion means further comprises:

audio converting means, coupled to the identification encoding means, for converting the analog audio signals into streams of digital audio data.

10. A transmission system as recited in one of claims 1 and 9, wherein the information in the items includes analog video information, and wherein the conversion means further comprises:

video converting means, coupled to the identification encoding means, for converting the analog video signals into streams of digital video data.

11. A transmission system as recited in one of claims 1 and 9, wherein the information in the items includes partly encoded information, and wherein the conversion means further comprises:

digital input means, coupled to the identification encoding means, for receiving partial encoded information in the items.

12. A transmission system as recited in claim 1, wherein the data compression means comprises:

means for performing a multi-dimensional analysis of the formatted data for inclusion in a predetermined algorithm; and

compression processors for running the predetermined algorithm and for compressing the formatted data.

13. A transmission system as recited in claim 1, wherein the compression means comprises:

means for identifying repeating patterns in the formatted data for inclusion in a predetermined algorithm; and

compression processors for running the predetermined algorithm and for compressing the formatted data.

14. A transmission system as recited in claim 12, wherein the multi-dimensional analysis means includes means for performing the multi-dimensional analysis in the horizontal dimension.

15. A transmission system as recited in claim 12, wherein the multi-dimensional analysis means includes means for performing the multi-dimensional analysis in the vertical dimension.

16. A transmission system as recited in claim 12, wherein the multi-dimensional analysis means includes means for performing the multi-dimensional analysis in the time dimension.

17. A transmission system as recited in claim 12, wherein the multi-dimensional analysis means includes means for performing the multi-dimensional analysis in the zig-zag dimension.

18. A distribution method responsive to requests identifying information to be sent from a transmission system to remote locations, the method comprising the steps of:

storing audio and video information in a compressed data form;

requesting transmission, by a user, of at least a part of the stored compressed data to a remote location selected by the user;

sending at least a portion of the stored compressed information to the remote location;

receiving the sent information at the remote location;

buffering the received information at the remote location;

and

playing back the buffered information in real time at a time requested by the user.

19. The distribution method as recited in claim 18, wherein the information in the items includes analog and digital signals, and wherein the step of processing further comprises the steps of:

converting analog signals of the information to digital components;

formatting the digital data signals of the information;

ordering the converted analog data and the formatted digital data in a predetermined sequence and;

compressing the ordered information.

20. The method of claim 18 wherein the step of storing the items includes the substep of storing the items in a plurality of compressed picture and sound information.

21. The method of claim 18 further comprising the steps of:  
storing a list of items available to the user from at least one compressed data library; and

providing the user with the list so that the user may remotely select a particular item for transmission.

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22. A receiving system responsive to a user input identifying a choice of an item stored in a source material library to be played back to the subscriber at a location remote from the source material library, the item containing information to be sent from a transmitter to the receiving system, the receiving system comprising:

transceiver means, for automatically receiving the requested information from the transmitter as compressed formatted data blocks;

receiver format conversion means, coupled to the transceiver means, for converting the compressed formatted data blocks into a format suitable for storage and processing for playback in real time;

storage means, coupled to the receiver format conversion means, for storing the compressed formatted data;

decompressing means, coupled to the receiver format conversion means, for decompressing the compressed formatted information; and

output data conversion means, coupled to the decompressing means, for playing back the decompressed information in real time at a time specified by the user.

23. A receiving system as recited in claim 22, further comprising:

user interface means for translating the input into a request for sending the requested information from the transmitter to the receiving system.

24. A receiving system as recited in claim 22, wherein the output data conversion means includes recording means which controls the playback.

25. A receiving system as recited in claim 22, wherein the storage means stores the formatted information until playback is requested by an operator.

26. A receiving system as recited in claim 22, wherein the decompression means further comprises:

video signal decompression means for decompressing video information contained in the compressed formatted information.

27. A receiving system as recited in claim 26, wherein the output data conversion means further comprises:

digital video output means, connected to the video signal decompression means, for outputting a digital video signal contained in the video information; and

analog video output means, connected to the video signal decompression means, for outputting an analog video signal contained in the video information.



28. A receiving system as recited in claim 27, wherein the video output means further comprises:

copy protection means for preventing copying by the user of protected information.

29. A receiving system as recited in claim 22, wherein the decompression means further comprises:

audio signal decompression means for decompressing audio information contained in the compressed formatted information.

30. A receiving system as recited in claim 29, wherein the output data conversion means further comprises:

digital audio output means, connected to the audio signal decompression means, for outputting a digital audio signal contained in the audio information; and

analog audio output means, connected to the audio signal decompression means, for outputting an analog audio signal contained in the audio information.

31. A receiving system as recited in claim 22, wherein the decompression means further comprises:

video signal decompression means for decompressing video information contained in the compressed formatted information; and

audio signal decompression means for decompressing audio information contained in the compressed formatted information.

32. A receiving system as recited in claim 22, wherein the transceiver means receives the information via any one of telephone, ISDN, broadband ISDN, satellite, common carrier, computer channels, cable television systems, MAN, and microwave.

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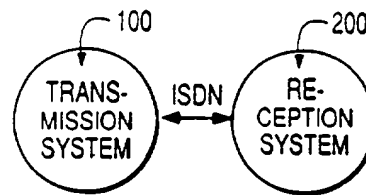
ABSTRACT

A system of distributing video and/or audio information employs digital signal processing to achieve high rates of data compression. The compressed and encoded audio and/or video information is sent over standard telephone, cable or satellite broadcast channels to a receiver specified by a subscriber of the service, preferably in less than real time, for later playback and optional recording on standard audio and/or video tape.

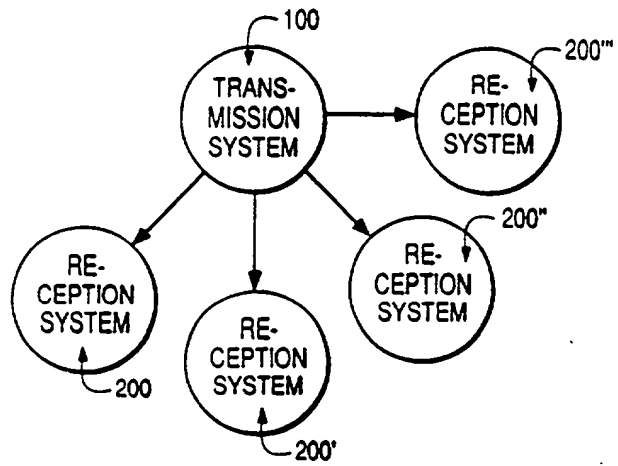
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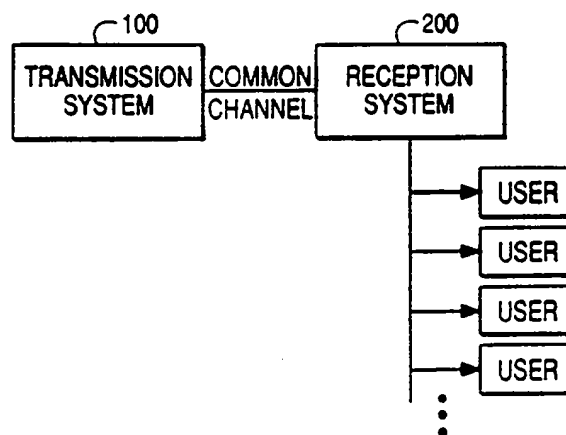
**FIG. 1a**



**FIG. 1b**



**FIG. 1d**



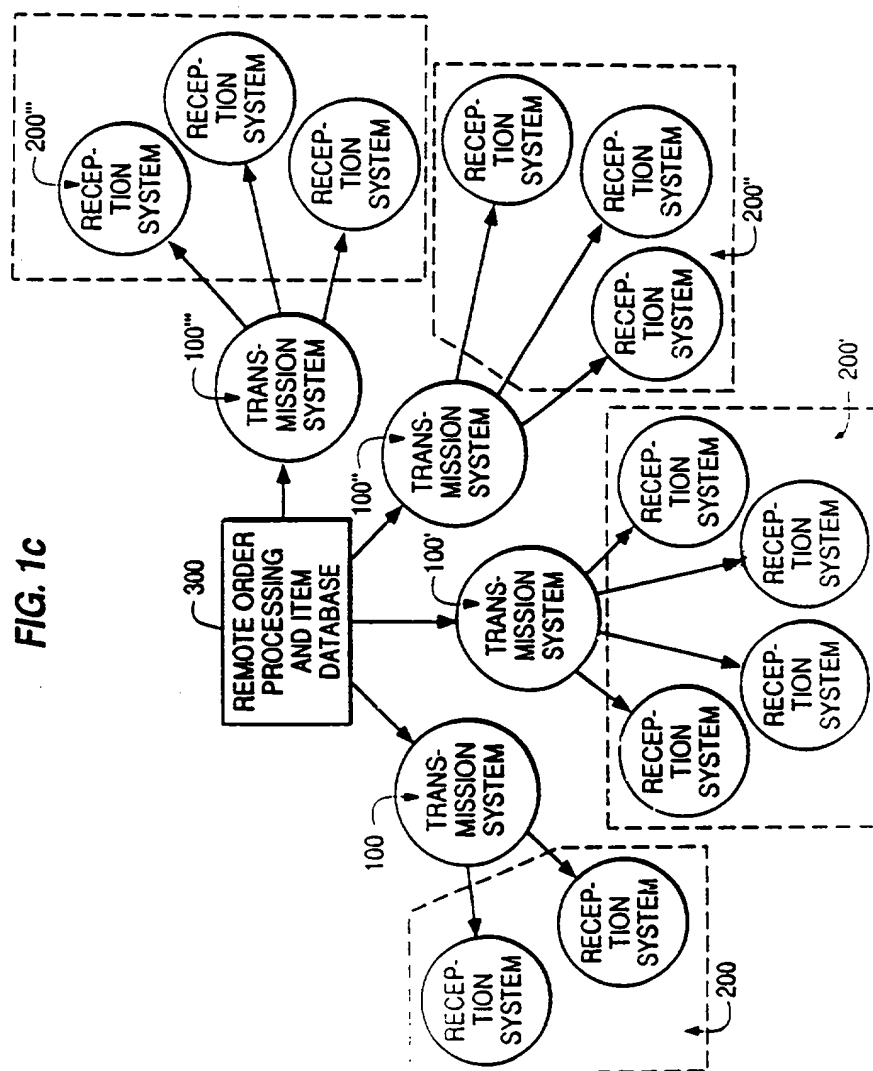


FIG. 1e

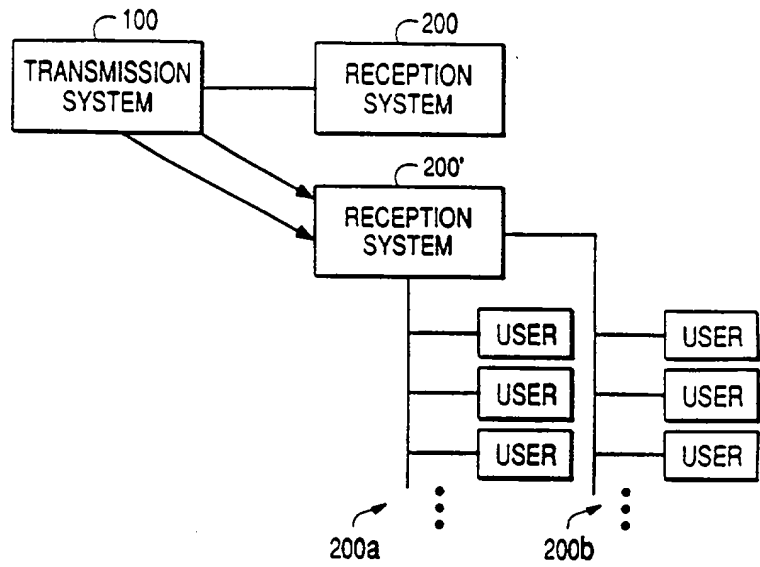
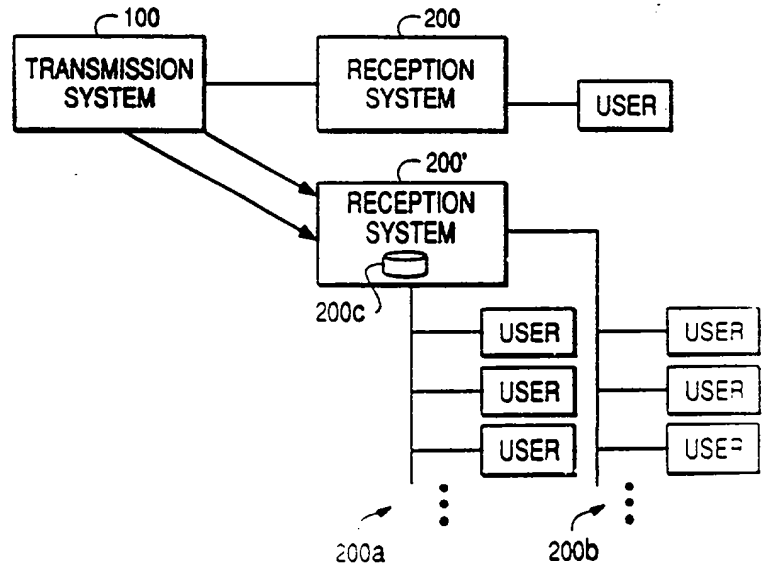
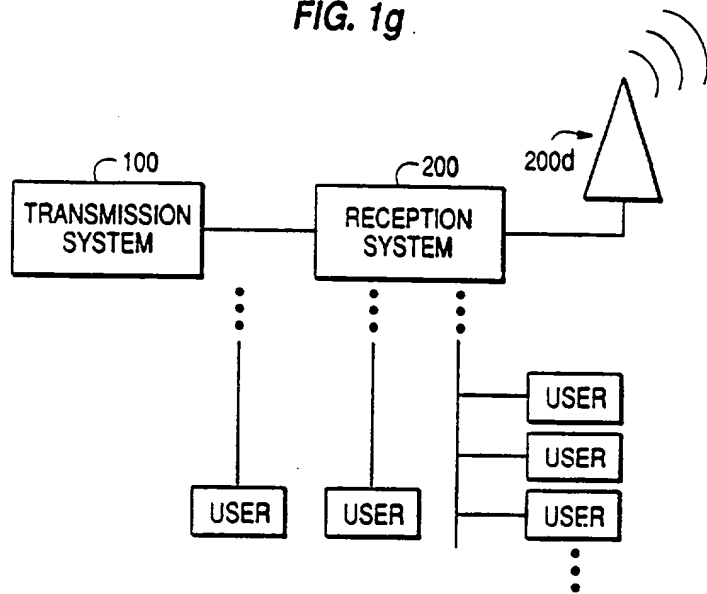


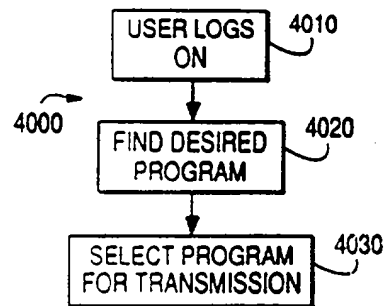
FIG. 1f



**FIG. 1g**



**FIG. 4**



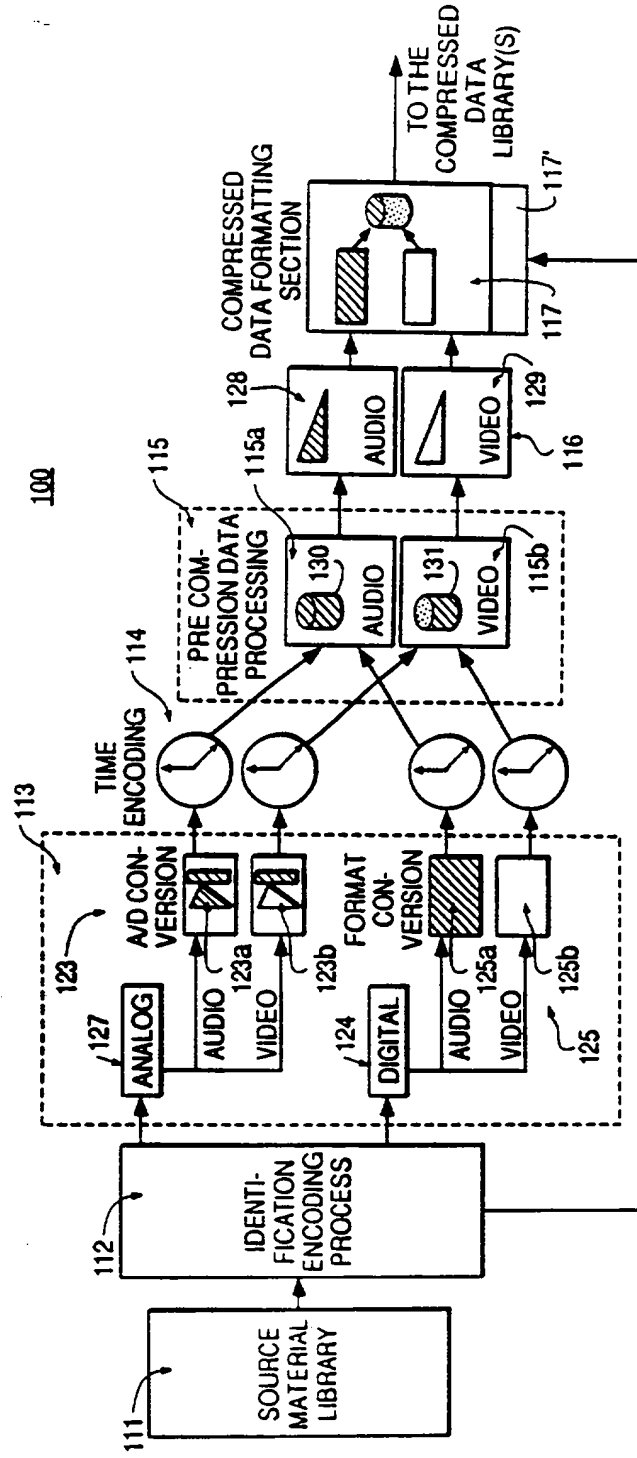


FIG. 2a



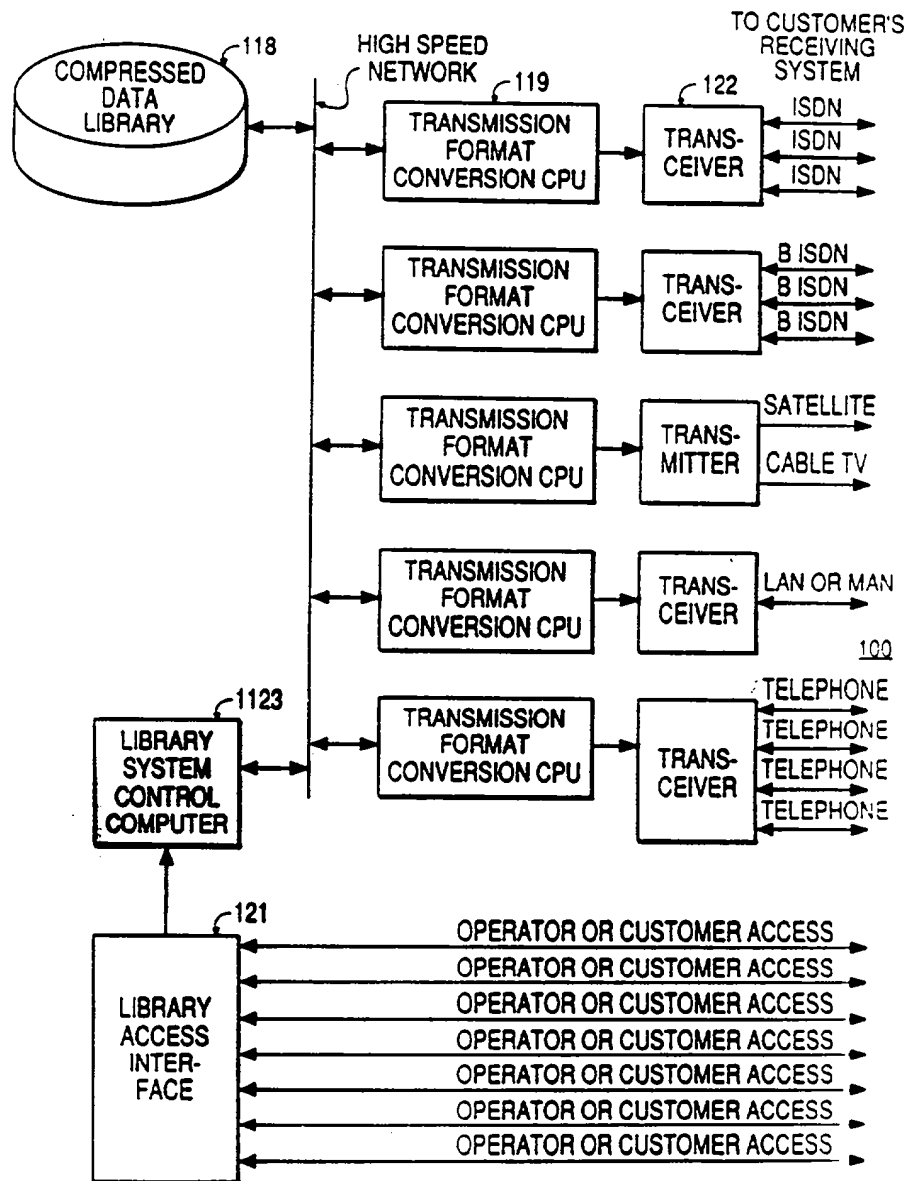


FIG. 2b

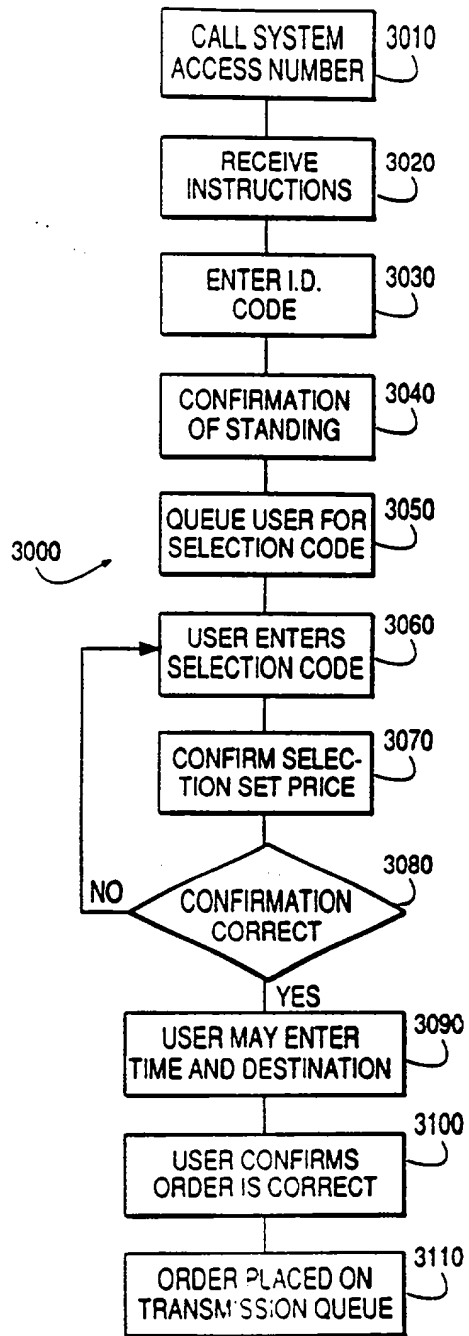


FIG. 3

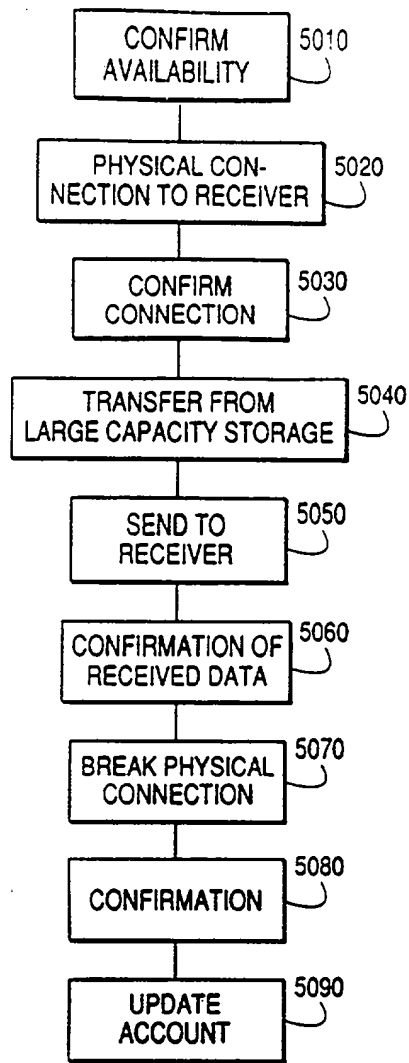


FIG. 5

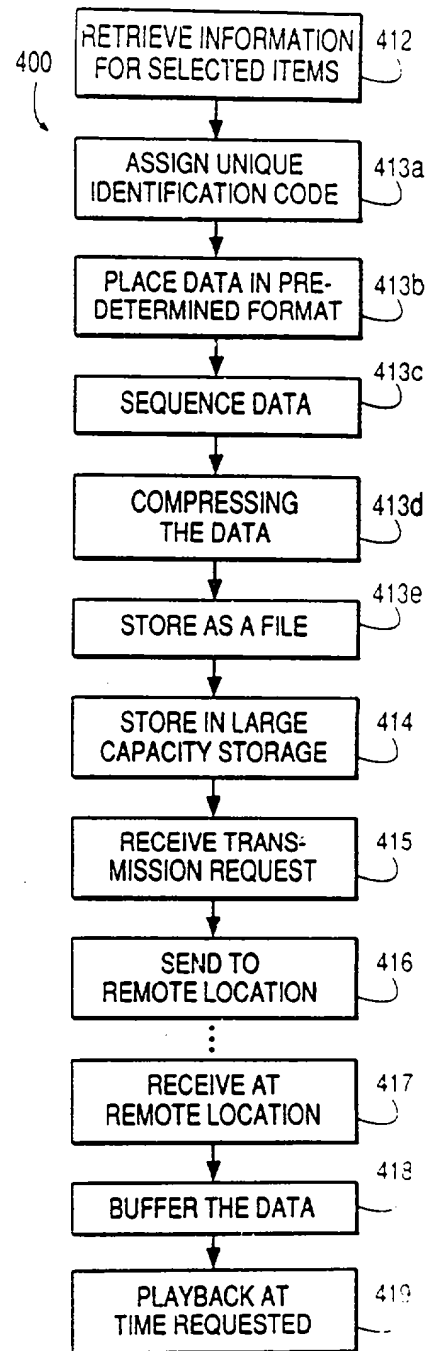


FIG. 7

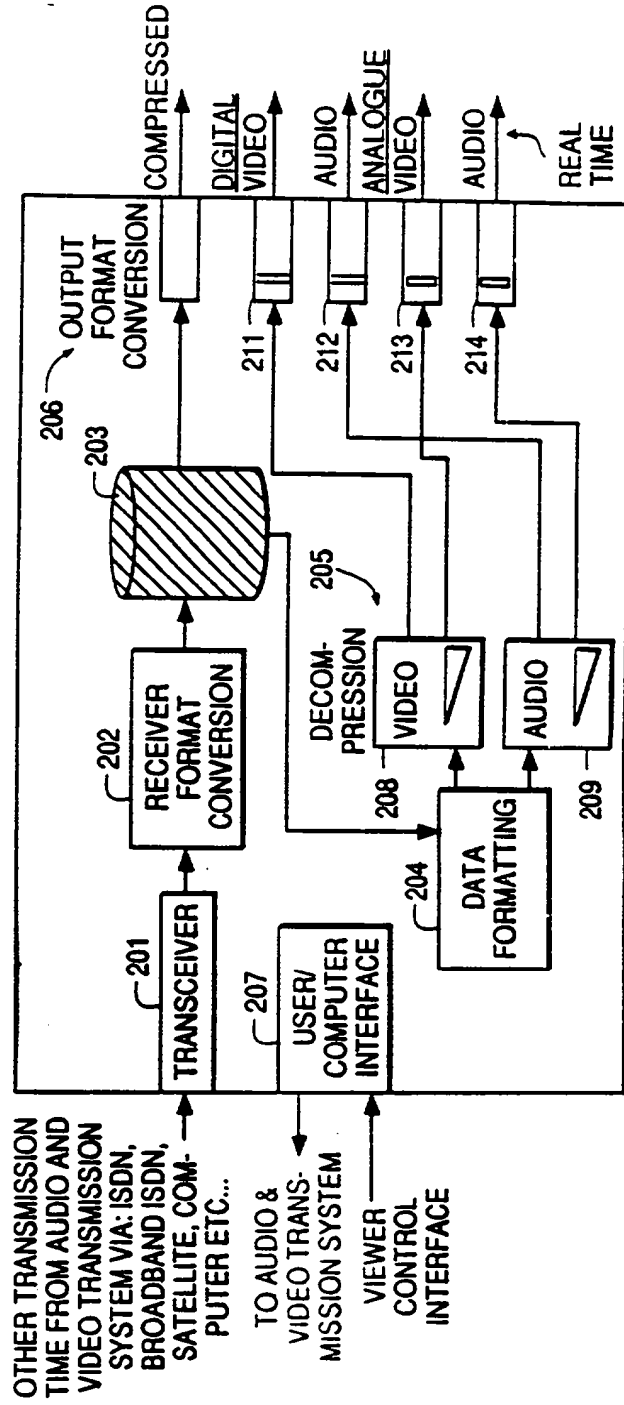
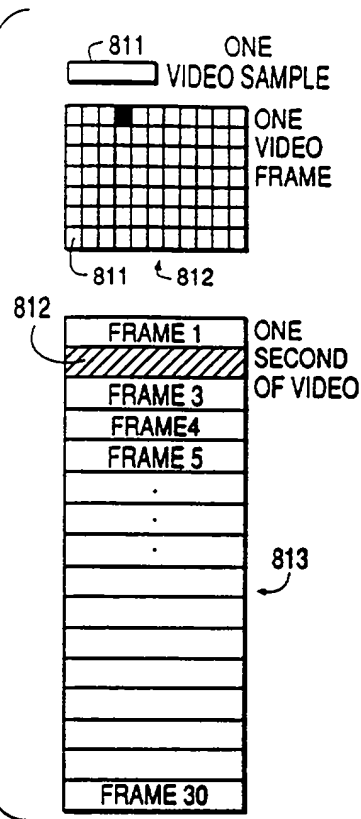
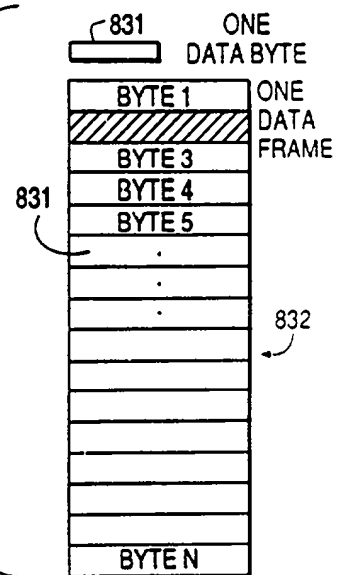


FIG. 6

**FIG. 8a**



**FIG. 8c**





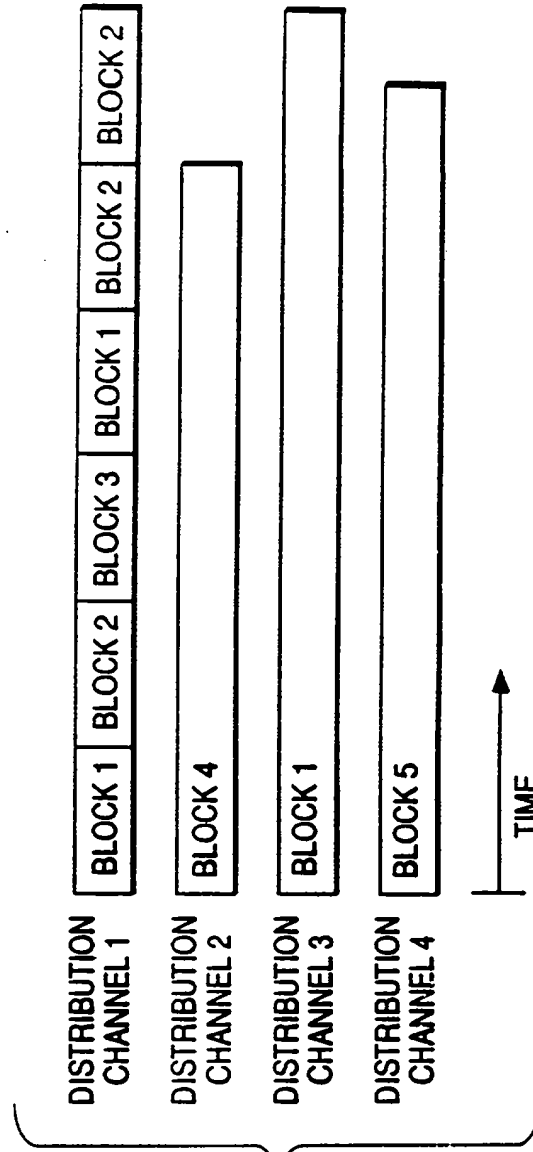


FIG. 8e

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Application of: )  
Paul YURT et al. )  
Prior Serial No.: 08/630,590 ) Prior Group Art Unit: 2734  
Filed: herewith ) Prior Examiner: A. Le  
For: AUDIO AND VIDEO TRANS- )  
MISSION AND RECEIVING SYSTEM )

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**PRELIMINARY AMENDMENT**

Prior to the examination of the above application, please amend this application  
as follows:

**IN THE SPECIFICATION:**

Please amend the specification as follows:

Page 9, line 9, change "systema" to --systems--.

Page 11, line 3, change "is" to --as--;

line 7, change "send a movie" and insert --have a movie sent--; and

line 14, after "items" insert --for--.

Page 12, line 8, after "recorder." insert --The receiving system recognizes copy  
protected programs and disables the audio-video recorder.--.

Page 13, line 25, change "communicated" to --communicate--.

60203 84001-00





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If there are any fees due in connection with the filing of this amendment, please charge the fees to our Deposit Account No. 06-0916. If an extension of time under 37 C.F.R. 1.136 not accounted for above is required for the entry of this amendment, such an extension is requested and the fee should also be charged to our Deposit Account.

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Deeth

Doris Johnson Hines  
Reg. No. 34,629

LAW OFFICES

- 3 -

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **AUDIO AND VIDEO TRANSMISSION AND RECEIVING SYSTEM** the specification of which is ☐ attached and/or ☒ was filed on January 7, 1991 as Application Serial No. 07/637,562 and amended on .....

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Country	Application Number	Date of Filing	Priority Claimed Under 35 U.S.C. 119
*	*	*	*
*	*	*	*

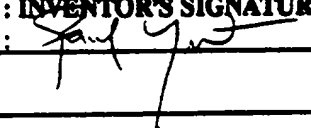
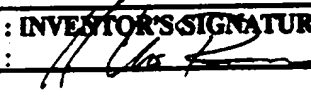
I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Date of Filing	Status (patented, pending, abandoned)
*	*	*
*	*	*

I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Finnegan, Henderson, Farabow, Garrett and Dunner, Reg. No. 22,540; Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings, IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilly, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsey, Reg. No. 28,165; and Thomas W. Winland, Reg. No. 27,605; Basil J. Lewis, Reg. No. 28,818; Robert J. Gaybrick, Reg. No. 27,890; Martin I. Fuchs, Reg. No. 28,508; Barry W. Graham, Reg. No. 29,924; Stephen J. Rosenman, Reg. No. 29,209; and Thomas H. Jenkins, Reg. No. 30,857; E. Robert Yoches, Reg. No. 30,120; Susan H. Griffen, Reg. No. 30,907; Richard B. Racine, 30,415; Robert E. Converse, Jr., Reg. No. 27,432; Christopher P. Folev, Reg. No. 31,354. Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT AND DUNNER, 1300 I Street, N.W., Suite 700, Washington, D.C. 20005, Telephone No. (202) 408-4000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Listing of Inventors Continued on Page 2 hereof. ☐ Yes ☒ No

<b>FULL NAME OF SOLE OR FIRST INVENTOR</b>	<b>INVENTOR'S SIGNATURE</b>	<b>DATE</b>
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